Hearing: Operation Mantus

Before the Hon P Johnson SC, Chief Commissioner

Held at Level 3, St James Centre, Elizabeth Street, Sydney

On Wednesday, 14 December 2022 at 10.12am

(Directions hearing)

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THE CHIEF COMMISSIONER: entitled "Operation Mantus".

This is a public directions hearing by the Commission as part of an investigation

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At the outset, I should indicate that the Commission has made an order under section 176 of the Law Enforcement Conduct Commission Act directing that there be no publication of the name or image of persons who will be referred to today by codenames, unless and until the Commission varies such orders at some future time.

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As a result, there will be mention of persons today Certain police officers will be using those codenames. referred to as Officer [MTS1] through to [MTS5], the "MTS" being a short form for Mantus. A young person will be referred to as [YPM1] - "YP" for young person, "M" for Those terms will be used throughout today's hearing and will be used in future examinations unless the Commission determines to vary the order made in some respects.

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In addition, an order has been made under section 176 of the Act prohibiting publication of the name of the town where events under investigation are said to have occurred.

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The scope and purpose of the Commission's examination and hearing is to investigate allegations that excessive force was used by a member or members of the NSW Police Force at a location in northern New South Wales on 11 September 2022 during the apprehension and arrest of [YPM1], a 14-year-old person, together with other issues arising from the detention of that young person in custody following his arrest.

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The purpose of today's direction hearing is to receive applications for leave to appear and to be represented either generally or for a limited purpose of making submissions on procedural issues including: the use of public and/or private examination as part of the hearing in Operation Mantus; the use of codenames for persons, with the question of identification of any person to be considered at a later time after hearing interested parties in that respect - I have already noted that codenames will be used today, and that will be the position unless the Commission forms a view that that order should be varied; next, the possible venue or venues for the taking of evidence and examinations and the making of submissions at

 a later point in the process of Operation Mantus; next, any other procedural issues which are considered appropriate to be raised at this time.

There will be no evidence taken today from any person. It is expected that the hearing and examination of witnesses will take place on dates to be fixed in February and March of 2023.

Pursuant to section 64 of the Law Enforcement Conduct Commission Act, the Commission has appointed Mr Lester Fernandez of counsel to act as counsel assisting the Commission for the purpose of Operation Mantus, and shortly I will call on counsel assisting to address a number of matters.

It is now appropriate to move to take applications under section 66 of the Act for persons to be represented for the purpose of today's direction hearing, which relates to procedural matters, or generally for the purpose of Operation Mantus.

I should indicate that the Commission has informed a number of parties who may have an interest in today's direction hearing or generally for the purpose of Operation Mantus so that applications for leave may be made.

At this point, I will call upon those appearing today to make an application for leave using, where applicable, the codename referred to in the list of names and codenames which I trust has been provided to the legal practitioners. So if we could commence, who would like to go first?

MR T JONES: Chief Commissioner, my name is Jones and I seek leave to appear for Officer [MTS2].

THE CHIEF COMMISSIONER: Yes, I grant leave to Mr Jones of counsel to appear on behalf of Officer [MTS2] for the purpose of Operation Mantus.

MR M TAYLOR: Commissioner, Taylor, solicitor, I seek your authorisation to appear on behalf of Officer [MTS3].

THE CHIEF COMMISSIONER: Yes, I grant leave to Mr Taylor, solicitor, to appear on behalf of Officer [MTS3] for the purpose of Operation Mantus.

1 2 3 4 5	MR R COFFEY: Chief Commissioner, my name is Coffey, I am instructed by Mr Conden, I seek your leave, Commissioner, to appear on behalf of the Commissioner of Police for today's directions hearing.
5 6 7 8 9	THE CHIEF COMMISSIONER: Yes. I grant leave to Mr Coffey of counsel to appear on behalf of the Commissioner of Police for the purpose of today's direction hearing.
10 11 12 13	MS S LEE: Chief Commissioner, my name is Samantha Lee, I am appearing for YPM1, seeking leave to appear for [YPM1].
14 15 16 17	THE CHIEF COMMISSIONER: Thank you. I grant leave to Ms Lee, solicitor, to represent [YPM1] for the purpose of Operation Mantus.
18 19	MR B HALL: Chief Commissioner, Hall, I seek authorisation to appear for [MTS1].
20 21 22 23 24	THE CHIEF COMMISSIONER: Yes. I grant leave to Mr Hall, solicitor, to appear on behalf of Officer [MTS1] for the purpose of Operation Mantus.
25 26 27	MR H WHITE: Commissioner, my name's White and I seek leave to appear on behalf of Officer [MTS4].
28 29 30 31	THE CHIEF COMMISSIONER: I grant leave to Mr White of counsel to appear on behalf of Officer [MTS4] for the purpose of Operation Mantus.
32 33 34 35 36 37	MR D NAGLE: Thank you, Chief Commissioner. My name is Nagle. I seek leave to appear today on behalf of the Police Association of NSW for the limited purpose of this directions hearing in relation to assisting in relation to issues under section 63.
37 38 39 40 41 42	THE CHIEF COMMISSIONER: Thank you. I grant leave to Mr Nagle of counsel to appear on behalf of the Police Association of NSW for the purpose of making submissions at today's procedural directions hearing.
43 44 45	MR G WILLIS: Chief Commissioner, my name is Willis. I seek leave to appear for Officer [MTS5].
46 47	THE CHIEF COMMISSIONER: Yes. I grant leave to Mr Willis, solicitor, to appear on behalf of Officer [MTS5] for the

purpose of Operation Mantus.

It is appropriate at this stage to request counsel assisting to provide a short opening concerning

Does that now complete the appearances? Thank you.

Operation Mantus. This is for the purpose of identifying a number of the issues expected to arise in the hearing and examinations which will proceed in the early months of

10 2023.

In addition, counsel assisting will also refer to a number of factors which may bear upon procedural issues, including the use of public or private examinations as part of the hearing in Operation Mantus.

So I now call upon counsel assisting. Thank you, Mr Fernandez.

 MR L FERNANDEZ: Chief Commissioner, Operation Mantus arises out of an incident which occurred in September 2022 in northern New South Wales. The incident involved a young person who sustained injuries during the course of being apprehended by police and after which he was arrested. The young person was treated by ambulance and in hospital for a short period.

The incident took place at night. The young person was with other young people. Police were conducting proactive policing activities and they were in plain clothes. Police did not wear body worn video at the time. As a consequence, if there is any dispute about what took place when police apprehended and then arrested the young person, that dispute will not be assisted by electronic evidence, and one of the issues which it is expected will be examined at this hearing and in examinations is why police were not wearing body worn video at the time of the incident.

After being treated in hospital, the young person was taken to a police station. Police wished to interview him. He contacted a solicitor. His solicitor advised police in writing that the young person did not wish to be interviewed. However, police did interview the young person. An adult was present during the interview.

This second period of time in the chronology leads to

other issues which may be expected to be examined during the course of this hearing, including: what procedures were followed or were not followed in the conducting of the interview by police; and the young person's management in custody.

I understand the Commission will seek submissions shortly about whether the hearing should be held in public or private or some combination of each, and I will shortly turn to the matters that may assist the Commission in its determination.

The Commission will also be seeking submissions about the location of the hearing or examinations or part of the hearing and part of the examinations, as well as other procedural matters as they arise.

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The Commission has already made a number of pseudonym and suppression orders, and their continuing force will also be the subject of submissions in due course.

It is anticipated that evidence will be taken at this hearing in February to March of next year. As indicated, the location and venue of the hearing is still to be determined.

I'll now turn, then, to a procedural issue which arises at the outset, and that is whether the hearing, or part of the hearing, is to be held in public and private.

By way of assistance to the Commission, I will turn to the objects of the Act as well as to a specific provision in the Act, section 63, which assists the Commission in determining whether a public or private hearing or public or private examinations should be conducted. I will also turn to some of the applicable principles.

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So I will start, then, with section 3 of the Law Enforcement Conduct Commission Act and I will ask for that provision to be placed up on the screen.

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Section 3 sets out the objects of the Act, and there are a number of objects. I will refer to three in The objects of the Act include the following, particular. subsection (b):

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To provide for the independent detection,

investigation and exposure of serious 1 2 misconduct and serious maladministration within the NSW Police Force and the Crime 3 Commission that may have occurred, be 4 5 occurring, be about to occur or that is likely to occur. 6 7 Subsection (d): 8 9 To prevent officer misconduct and officer 10 maladministration and agency 11 maladministration within the NSW Police 12 Force and the Crime Commission by -13 14 15 (i) providing for the identification of systemic issues that are likely to be 16 conducive to the occurrence of officer 17 misconduct, officer maladministration and 18 19 agency maladministration; and 20 21 (ii) assessing the effectiveness and appropriateness of their procedures 22 23 relating to the legality and propriety of activities of their members and officers: 24 25 and 26 27 (iii) encouraging collaborative evaluation of opportunities for, and implementation 28 of, desirable changes in such procedures; 29 30 and 31 32 (iv) making recommendations with respect to education and training about prevention 33 of officer misconduct, officer 34 35 maladministration and agency 36 maladministration. 37 The third object I will refer to is in subsection (g), 38 39 which is: 40 To foster an atmosphere in which 41 complaints, provision of other information 42 43 about misconduct and independent oversight are viewed positively as ways of preventing 44 45 officer misconduct, officer maladministration and agency 46 47 maladministration.

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In order for the objects of the Act to be achieved, there needs to be a substantial degree of public confidence in the work of the Commission. One way in which this public confidence is achieved is by the work of the Commission, when possible, being done in public. Public confidence is also served when the work of the Commission, when necessary, is done in private.

I will turn very shortly to deal with the specific provisions in section 63 of the Act, but I note that those considerations must be understood in the context of the Act as a whole, giving attention to the functions of the Commission in the ways by which the Act provides it is to, or may, undertake its tasks.

I will turn, then, to section 63 of the Act. I will firstly refer to subsections (1) through to (4). Section 63 deals with the holding of public and private examinations.

Subsection 63(1) states:

An examination (or part of an examination) may, subject to subsection (2), be held in public or in private.

Subsection (2) states:

An examination (or part of an examination) may only be held in public if the Commission decides that it is appropriate.

There is a note to subsection (2) and the note deals with authorisation by the Chief Commissioner in consultation with the other Commissioner about this particular issue, public and private examinations.

Subsection (3) states as follows:

Despite the Commission deciding to hold an examination (or part of an examination) in public, the examining Commissioner may decide to hear closing submissions or any other part of a hearing in private.

Subsection (4) states:

 Subsection (3) extends to a closing submission by a person appearing before the examining Commissioner or an Australian legal practitioner representing such a person, as well as to a closing submission by an Australian legal practitioner assisting the Commission as counsel.

I pause there to note that what is reposed in this Commission is a statutory discretion, and it is a discretion with considerable flexibility.

Subsection (5) sets out a non-exhaustive list of factors that the Commission may take into account in determining whether or not to hold an examination, or part of an examination, in public, and it requires the Commission to consider the following:

- (a) the benefit of exposing to the public, and making it aware of, serious misconduct;
- (b) the seriousness of the allegation or misconduct matter being investigated;
- (c) any risk of undue prejudice to
 a person's reputation (including by not
 holding the examination in public);
- (d) whether the public interest in exposing the matter is outweighed by the public interest in preserving the privacy of the persons concerned;
- (e) whether holding the examination (or part of the examination) in public may encourage a person with information relevant to the investigation concerned to appear before the examining Commissioner or to otherwise assist the Commission's investigation.

The factors to be considered and the nature of the discretion that is to be exercised have been considered in a number of cases, and I will take the Commission to two cases in particular.

 The first is the *Independent Commission Against Corruption v Chaffey*. The citation is (1993) 30 NSWLR 21. This was a judgment of the Chief Justice, Chief Justice Gleeson, as he then was, the President of the Court of Appeal, as his Honour then was, President Kirby, and Justice Mahoney.

The Chief Justice at page 29 of the report noted - although dealing there with a different Commission, the Independent Commission Against Corruption - as follows:

The Commission was entitled and, indeed obliged, to take into account the public interest in deciding whether, and to what extent, the hearing would be in public.

That is the same exercise that this Commission will determine. The Chief Justice referred to that statutory discretion being one reposed in the Commission.

At page 30, the Chief Justice went on to say this:

Considerations of public interest which support an open hearing, and which were taken into account by the Commissioner, include the need for public confidence in the operations of the Commission, and the assistance to the investigative process which might be gained from the giving of wide publicity to the allegations being investigated. It was for the Commission to determine the weight to be given to such considerations.

The Commissioner also had regard to the private interests involved, including those of the respondents. It is important to note that those interests are not necessarily and in all respects best served by hearing the evidence of [a particular witness in that case] in private.

The Chief Justice then went on to refer to the importance of public confidence by reference to what had taken place in the United Kingdom and a report in relation to the Royal Commission on Tribunals of Inquiry in 1966.

The Chief Justice referred to the following from that report:

... that it is "of the greatest importance that hearings before a Tribunal of Inquiry should be held in public. It is only when the public is present that the public will have complete confidence that everything possible has been done for the purpose of arriving at the truth" ...

Justice Mahoney, in a separate judgment, referred to the principles by reference to the formation of the Independent Commission Against Corruption. His Honour referred to a serious evil that parliament had determined existed, which was corrupt conduct in the public life of New South Wales, and the particular remedy, which was the granting to an independent executive body of a wide power to investigate and make reports in respect of that conduct suggested as corrupt.

Once again, making due allowance for the different purposes of the Independent Commission Against Corruption and this Commission, his Honour made the following statement:

 The remedy which the Parliament has chosen involves, inter alia, four things: the Parliament authorised a public investigation of conduct impugned as corrupt conduct; it recognised that public investigation could cause harm to those investigated; as a means of balancing the good of public investigation against the good of private rights, it provided a discretion to hold the investigation wholly or in part in private; and it gave that discretion to an administrative body, the Independent Commission Against Corruption ...

 In this case, the Commission did what the Parliament required of it. It weighed the good of public investigation against the good of the private right not to be damaged by it.

His Honour then went on to say in particular, the 1 2 following: 3 The scrutiny of impugned conduct in public 4 has a disinfectant effect: reference has 5 often been made to "the disinfectant effect 6 of sunlight". And scrutiny in public 7 rather than behind closed doors is 8 a traditional check upon abuse of both 9 administrative and judicial power ... 10 11 12 Further statements of principle were made in the decision of the Court of Appeal in the Independent 13 Commission Against Corruption v Cunneen [2014] NSWCA 421, 14 which was a judgment of the Chief Justice, Chief Justice 15 Bathurst, as his Honour then was, Justice Basten and 16 Justice Ward. 17 18 19 Justice Basten referred, in addressing principles relating to hearings in public and private, to the 20 applicable provision in the Independent Commission Against 21 Corruption Act, that being section 31. 22 23 The same considerations or factors to be determined or 24 25 considered are present, with one addition, in the Law Enforcement Conduct Commission Act. 26 27 28 Justice Basten referred to the following matters being 29 required to be taken into account. These matters reflect what is contained in section 63. The matters were as 30 31 follows: 32 (a) the benefit of exposing to the public, 33 34 and making it aware, of corrupt conduct; 35 36 (b) the seriousness of the allegation or 37 complaint being investigated; 38 39 (c) any risk of undue prejudice to a person's reputation (including prejudice 40 that might arise from not holding an 41 42 inquiry); and 43 (d) whether the public interest in exposing 44 45 the matter is outweighed by the public interest in preserving the privacy of the 46

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persons concerned.

 In paragraphs 100 to 106, his Honour made a number of references to the factors and how they operated. At paragraph 100 Justice Basten, referring to the benefit of exposing to the public and making it aware of corrupt conduct, referred to this first mandatory consideration as being one which "must be read within the broad ambit of the ICAC Act":

Thus the objects of the Act include exposing and educating public authorities, public officials and members of the public about corruption and its detrimental effects on public administration and the community.

His Honour went on to say:

At one level, those factors will usually militate in favour of a public inquiry. On the other hand, the Commission has power to report in relation to any matter that has been the subject of an investigation, and shall furnish such reports [as are required].

His Honour referred to consideration being given as to whether to conduct a public inquiry where there were potential adverse effects on individuals whose conduct was under investigation.

Justice Basten, in referring to the second of the applicable considerations - that is, the seriousness of the allegation or complaint being investigated - referred to this consideration having a number of facets:

An allegation is to be treated seriously because of its source, because of its subject matter or because of the potential consequences of the conduct complained. In some circumstances, the seriousness of the allegation may militate in favour of a public inquiry.

Sometimes they may not. Where the allegations of serious misconduct are of a highly contestable kind, that factor may militate against the taking of that step - that is,

holding a public inquiry.

Referring to the risk of undue prejudice to a person's reputation, Justice Basten referred to that factor as usually arising from the holding of an inquiry rather than the not holding of one, although the latter possibility was recognised. How that consideration will operate - namely, the risk of undue prejudice - in a particular case is obviously a matter for discretionary judgment. The final consideration referred to in relation to whether the public interest in exposing the matter is outweighed by the public interest in preserving the privacy of the persons concerned will require the need to weigh one public interest against another public interest.

His Honour went on to say at paragraph 106:

The proper balancing of the factors, both known and unknown, was a matter for the Commission.

 Turning, then, to the application of those objects and those applicable principles, I come, then, to the scope and purpose of this examination, which is to investigate allegations that excessive force was used by any members of NSW Police during the apprehension and arrest of a young person. That is obviously very serious conduct, and it is conduct which the public would be rightly concerned with.

Chief Commissioner, that completes the opening comments which I present by way of assistance to the Commission in terms of the overview of this investigation and some principles applicable to the holding of a public or private hearing, which, of course, can be in part in public and in part in private.

I put up some overheads which refer to the particular legislation, and I tender that document.

THE CHIEF COMMISSIONER: Yes, is it sufficient to identify it as "exhibit MTS1", using "MTS" as short for Mantus again, in the mode used in this Commission?

MR FERNANDEZ: Yes.

THE CHIEF COMMISSIONER: The extracts from the Law Enforcement Conduct Commission Act displayed during the

short opening of counsel assisting will be admitted and 1 2 marked exhibit MTS1. 3 EXHIBIT #MTS1 EXTRACTS FROM THE LAW ENFORCEMENT CONDUCT 4 COMMISSION ACT DISPLAYED DURING THE SHORT OPENING OF 5 COUNSEL. BARCODED 8456560-8456562 6 7 Chief Commissioner, I think there will be an 8 MR HALL: 9 overlap with my codename. 10 MR FERNANDEZ: That's Officer [MTS1]. There shouldn't be 11 12 any confusion about the tender. 13 THE CHIEF COMMISSIONER: Yes. If you have a copy of the 14 section 176 order which I made - I hope all lawyers have 15 16 a copy --17 MR HALL: Yes, Chief Commissioner. 18 19 THE CHIEF COMMISSIONER: -- and if you look at the second 20 page, the term "officer" is used, so I hope that that 21 minimises the risk of confusion. 22 23 Thank you, Chief Commissioner. 24 MR HALL: 25 26 THE CHIEF COMMISSIONER: The thinking was the use of the 27 "Officer" clearly indicates it is a police officer, whereas for non-police officers, different terminology is being 28 29 used up to this point, [YPM1], being the young person. I certainly want to minimise the risk of any confusion. 30 31 32 Any further items that become exhibits today will just 33 be marked serially with the number after MTS1. We won't 34 use MFIs, of course. There is no use in that. This is not 35 a trial or a court where any distinction is appropriately 36 drawn between exhibits and MFIs. So it will be exhibit MTS2 and 3, et cetera, if there are further 37 38 But thank you for raising that with me to exhibits. 39 emphasise the need for care in the terminology used during today. 40 42

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Well, counsel assisting has delivered a short opening and touched upon some issues that address the question of public and private hearing. I am conscious that those who are here today appearing for particular interests have had only some general notice as to what was to be addressed today. I will invite submissions to be made on these

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3	Perhaps I should note at the outset that counsel for
4	the Commissioner of Police, Mr Coffey, has prepared
5	a written submission. As to the order in which people
6	speak, I don't know if there has been any consideration at
7	the Bar table of that, but as you have a document,
8	Mr Coffey, do you want to go first?
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10	MR COFFEY: Certainly I can assist in going first, if
11	that's
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13	THE CHIEF COMMISSIONER: All right. I mention that
14	essentially because you have provided what is a helpful
15	written submission.
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17	Do others who have been granted leave to appear have
18	copies of Mr Coffey's submissions?
19	copies of in correy's submissions!
	MD COFFEY. No not yet your Honour
20	MR COFFEY: No, not yet, your Honour.
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22	THE CHIEF COMMISSIONER: We have taken the liberty of
23	making half a dozen copies. I take it you don't have
24	a problem with others having a copy of your submission?
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26	MR COFFEY: No.
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28	THE CHIEF COMMISSIONER: I think it would be very helpful
29	for everyone. Some of your submissions have touched upon
30	similar topics to counsel assisting.
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32	MR COFFEY: Yes.
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34	THE CHIEF COMMISSIONER: What I will do is note that the
35	outline of submissions by the Commissioner of Police in
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36	respect of the proposed examinations dated 14 December 2022
37	will be admitted and marked exhibit MTS2.
38	EVILLET WATER OUT THE OF CURVICATIONS BY THE COMMISSIONER OF
39	EXHIBIT #MTS2 OUTLINE OF SUBMISSIONS BY THE COMMISSIONER OF
40	POLICE IN RESPECT OF THE PROPOSED EXAMINATIONS, DATED
41	14 DECEMBER 2022, BARCODED 8456563-8456574
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43	THE CHIEF COMMISSIONER: I note that copies of
44	exhibit MTS2 are being made available to those with leave
45	to appear who don't have a copy of it at present.
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47	Could I just check whether everyone has a copy now?
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MR COFFEY: I have some spares, if that assists.

 THE CHIEF COMMISSIONER: All right. Now, as I made clear at the outset, there are orders in place with respect to the names of persons and the location, and those orders will remain in place unless and until the Commission is satisfied they should be varied in some respects. So insofar as some of the matters in your written submission touched on that, you can take as a starting point that that is the approach of the Commission, but having said that, I would invite you to address on this question, thank you, Mr Coffey.

MR COFFEY: Thank you, Chief Commissioner, I'm grateful. My written submissions are somewhat lengthy, but I don't propose in these circumstances, particularly in view of the learned counsel assisting's submissions, to go through all of them.

THE CHIEF COMMISSIONER: Perhaps I should note one thing. In paragraph 3 of the written submissions there is understandably a reference to the scope and purpose, which identifies the name of the young person and the location, so if anyone, apart from those appearing as legal representatives for interested persons, wanted to look at these submissions, that would need to be struck out. And if there is any other reference - I think they may be the only ones.

MR COFFEY: I think that's the only one, Chief Commissioner. If it assists, I can always provide an amended version that redacts that.

THE CHIEF COMMISSIONER: If that could be done, it would be helpful. It could be that someone would like an opportunity to look at the submissions and I see no reason why they shouldn't be available if persons interested wish to see them.

 MR COFFEY: I would be grateful, to also deal with my OCD, the fact the subparagraph repeats paragraph (b) and (b) again, so I would be grateful to be able to provide you a corrected version of that.

THE CHIEF COMMISSIONER: That's fine. Let me say at the outset I am grateful for these submissions, conscious that

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46 47 everyone has had limited time in advance of today. my principal wishes today is to obtain some assistance in this general area.

I understand that it is not something that can be discussed to reach absolutely concrete outcomes based upon some abstract discussion, because one has to say something, or think about, the circumstances under consideration as well, but it is sometimes the practice of investigatory commissions to effectively determine, before any hearing commences, whether it will be in public or in private, but the Commission has taken the view that it would be helpful and in the public interest that this discussion take place in open hearing. It is a public hearing. There are representatives of the media present. I hope that this discussion will assist both the interested persons but also the broader community to understand some of the issues that need to be considered when a commission has to make a decision about whether something takes place in public or in private.

So that is part of the rationale for today, in circumstances where, as you point out in your submissions, there is no decision of a court, at least as I understand it, that has looked at the particular section in the Law Enforcement Conduct Commission Act, although there is, as both you and counsel assisting point out, the Cunneen decision concerning a very similar provision in the Independent Commission Against Corruption Act. stop talking and let you commence.

MR COFFEY: Thank you, Chief Commissioner.

The starting point for those who are at the Bar table and listening is that the Commissioner of Police's position is that the hearings should be held in private. However. as an alternative, if the proceedings, the examination, is to be conducted in public, as is the case today, those persons who are called upon to give evidence and be examined, being the officers set out within the section 176 order, should receive the benefit of pseudonyms.

That is not to say that more senior officers, such as, say, an assistant commissioner of police who may be called to give more strategic evidence or more policy position evidence - they would not require such a pseudonym.

 Could I briefly address you, Chief Commissioner, in relation to the objects set out on page 2 of paragraph 6 of the written submissions in very short compass.

It is contended on behalf of the Commissioner of Police that section 3(b) is relevant in respect of the direction to "serious misconduct" as opposed to what is set out in paragraph (f) under section 3, where it is:

to recognise the primary responsibilities of the NSW Police Force and Crime Commission to investigate and prevent officer misconduct and officer maladministration within those agencies and agency maladministration while providing for oversight of those functions.

I will make good the purpose of directing your attention to the difference between "serious misconduct" and "misconduct" in a moment.

It is also relevant to have regard, Chief Commissioner, to section 26 of the LECC Act, specifically, subparagraph (b)(i) - that is, that the functions of the Commission with respect to misconduct matters include the following:

- (b) in accordance with misconduct management guidelines --
- (i) to detect, investigate, (including by carrying out examinations in appropriate cases) and expose conduct that is (or could be) serious misconduct or serious maladministration ...

Chief Commissioner, the emphasis being on "serious misconduct".

In respect of the difference between "misconduct" and "serious misconduct", it must be understood, Chief Commissioner, in respect of the objects of the Act and the functions of the Commission, although section 4 of the Act provides general definitions, within Division 2 entitled "Key Concepts", of Part 2, entitled "Interpretation and key concepts of the Act", there is assistance in respect to what is the meaning of "serious misconduct".

 For the purposes of the LECC Act, section 10 of the Act provides the meaning of "serious misconduct". I will only take you, Chief Commissioner, to paragraph 1(a) and that is because, in my respectful submission, (b) and (c), as I understand it, don't arise, therefore, in respect of paragraph (a), it is:

conduct of a police officer, administrative employee or Crime Commission officer that could result in prosecution of the officer or employee for a serious offence or serious disciplinary action against the officer or employee for a disciplinary infringement ...

I direct the Chief Commissioner's attention, with respect, to "serious offence", again.

It is important having regard to section 10(2), which is set out on page 4, the definition of "serious offence", and that is:

serious offence means a serious indictable offence and includes an offence committed elsewhere than in New South Wales that, if committed in New South Wales, would be a serious indictable offence.

Chief Commissioner, it is also relevant to have regard to section 9 of the LECC Act with respect to misconduct - if I could call it "misconduct simpliciter" for the purposes of this exchange, that is not "serious misconduct" - this is done by section 9 providing the definitions of "police misconduct", and also "administrative" or "Crime Commission officer misconduct".

When, Chief Commissioner, you turn to section 9(4) of the LECC Act, there is a non-exhaustive list of examples of what is called "misconduct", and in my words, misconduct simpliciter, and those are set out in paragraphs (a) through (d).

It is important, Chief Commissioner, to recognise that in paragraph (a) of subsection (4), conduct of an officer or employee that constitutes a criminal offence is still considered to be misconduct. The delineation is provided by the definition within section 10(2), being that serious

 offence, to give rise to serious misconduct, requires the offence to be a serious indictable offence - that is, an offence that carries a term of imprisonment of five years or more.

Chief Commissioner, set out at paragraph 15 and further is the acknowledgment that this Commission has a wide range of investigative powers, set out and provided within Part 6 of the Act.

Relevantly, section 51(1) enables the Commission to exercise its investigative powers in respect of those matters set out in subparagraphs (a) through (e), and for the present circumstances, the relevant matter arises in respect of subparagraph (a), and that is:

(a) if the conduct concerned involves a police officer, administrative employee or Crime Commission officer and the Commission has decided that the conduct concerned is (or could be) serious misconduct or officer maladministration that is serious maladministration and should be investigated ...

It is important to note for the purposes of paragraph (a) parliament has included a note that requires reflection on section 19(2) of the Act in making a decision under that paragraph.

It is important to recognise that there is a broad ambit within section 51 and the powers available to this Commission, and in doing so, I make four points.

The first is that subsection (2) enables the investigation powers to be exercised for two reasons or on two occasions. The first is (a) following a complaint being made or referred to this Commission; or, alternatively, (b) on the Commission's own initiative.

The second point to be made is that subsection (3) provides a wide discretion for the Commission to exercise powers, that is:

(a) even in circumstances that the conduct could be, but is not, the subject of a complaint; or

 (b) to investigate the actions of another person or body in relation to the conduct concerned ...

I think put differently, with respect, that could be described as investigating the conduct of a third party who is not a police officer in order to exercise the relevant functions of this Commission.

Chief Commissioner, the third point to be made is that subsection (4) expressly removes any limitation on the scope available in subsection (3), where the misconduct matter or conduct is, or could be, indicative of systemic problems involving the NSW Police Force generally, a particular area - and that must be understood as a particular geographical area in New South Wales or particularly a squad or command within the police force - and the Commission considers that it is in the public interests to do so, that the investigation extend beyond any police or administrative employee to other misconduct matters or conduct that relates.

The fourth point to be made, Chief Commissioner, is that subsection (6) provides that, for the purposes of subsection (1), conduct that is or could be indicative of both officer misconduct and a series of other matters that are set out is to be treated as officer misconduct.

Briefly touching on what also learned counsel assisting dealt with in terms of the examination and this question of private versus public, it is clear that the Commission is provided power to hold an examination pursuant to section 61 and that the question and jurisdictional point in terms of whether the examination is to be held in public or in private is set out in section 63 of the Act.

In my respectful submission, it is contended that when regard is had to subsection (2), the starting point is that examinations are to be conducted in private. Of course, subsection (1) plainly makes available a discretion that they may be held in public or private, but the important point is that they should be held in private and that there is a relevant test provided about whether or not they should be held in public.

At paragraph 30, Chief Commissioner, I set out in 1 respect of this question of "if the Commission decides that 2 it is appropriate" to hold an examination or part of an 3 examination in public, as learned counsel assisting 4 5 directed your attention to, subsection (5) provides some 6 non-exhaustive guidance about the matters that ought to be 7 considered. 8 THE CHIEF COMMISSIONER: 9 Just before you go there, could I just ask you a couple of things and we will come back to 10 that. 11 12 The Cunneen decision to which you refer as well, being 13 the decision of the Court of Appeal in 2014, looked at 14 section 31 of the Independent Commission Against Corruption 15 Act, and at paragraph 98 of the judgment, Justice Basten's 16 judgment, he set out section 31. There is some difference, 17 I think, between section 31 of that Act and section 63 of 18 19 this Commission's Act. Subsection (1) of the ICAC Act: 20 For the purposes of an investigation the 21 Commission may, if it is satisfied that it 22 23 is in the public interest to do so, conduct 24 a public inquiry ... 25 And then it goes on to identify a non-exhaustive list of 26 27 factors. 28 In section 63 of the Law Enforcement Conduct 29 Commission Act, subsection (1): 30 31 32 An examination (or part of an examination) 33 may, subject to subsection (2), be held in 34 public or in private. 35 36 Subsection (2): 37 38 An examination (or part of an examination) 39 may only be held in public if the Commission decides that it is appropriate. 40 41 42 And you submit that that means that that suggests that the 43 Commission has to determine whether it is appropriate before it could move to a public examination. 44 45

46 47 MR COFFEY:

Yes, Commissioner.

THE CHIEF COMMISSIONER: The word "appropriate" doesn't seem to be a word used in the ICAC Act. It is, on one view of it, a word that is not overly demanding. The Macquarie dictionary says, amongst the meanings of the word "appropriate" is "suitable or fitting for a particular purpose, person, occasion, etc". So it is not, by way of contrast, what appears in the Independent Broad-based Anti-corruption Commission legislation in Victoria where there is an exceptional circumstances test, with such a test to be introduced, as I understand it, in the National Anti-Corruption Commission Act which has now passed through the federal parliament.

So the word "appropriate" is there, and I don't know if such a word existed in the Police Integrity Commission Act, or in any other Act that may be exercising similar powers, and I'm not sure if anything in any extrinsic material explains why the word "appropriate" is used, but I merely point that out because it is what the statute says is the test.

Perhaps the other thing that should be noted is that when the *Cunneen* judgment is read, what Justice Basten, and to an extent Justice Ward, said about the public interest must be read in the context where section 31(1) of the Independent Commission Against Corruption Act actually says that the Commission may conduct a public inquiry if it is satisfied it is in the public interests to do so. So there is a direct public interest test.

That's not to say that the public interest is irrelevant for section 63 of this Act, because it is mentioned at least in subsection (5)(d) and must, one would think, be a foundational concept in legislation of this sort in any event, where the Commission is expected to be operating in the public interest generally.

I just make those points. The word "appropriate" - I've mentioned a dictionary definition, I don't know if there is any other helpful use of the term that may be found; probably not.

MR COFFEY: Chief Commissioner, just responding to a number of things that you have observed, I accept for the purposes of the ICAC Act that subsection (1) is a different starting position.

1	THE CHIEF COMMISSIONER: Yes.
2 3 4 5 6 7 8	MR COFFEY: That is, it is not, as I contend for the purposes of this legislation, a starting point that the hearing should be held in private. Clearly subsection (1) of section 31 is a different position and does not include the word "appropriate" or any similar terminology.
9 10 11 12 13	Just directing your attention back, Chief Commissioner, to the former repealed Act of the Police Integrity Commission, it was dealt with under section 33. Having a review of that now at the Bar table, it is not drafted in the same terms as the current section 63 of this Act is done, it starts with subsection (1):
15 16 17 18 19	A hearing may be held in public or in private, or partly in public and partly in private, as decided by the Commission.
20 21 22 23 24	Then subsection (3A) sets out, without limiting the factors, it provides (a) through (d), which I would say are similar to what is available now. Obviously we have (e) within section 63, but ultimately, it does not consider the word "appropriate".
252627	THE CHIEF COMMISSIONER: No. The Second Reading Speech is probably not likely to say anything
28 29 30	MR COFFEY: No, I can confirm that neither Second Reading Speech provides any assistance, other than the fact
31 32 33	THE CHIEF COMMISSIONER: It is a negative search, which is the more common experience.
34 35 36	MR COFFEY: Yes.
37 38 39 40 41 42 43	THE CHIEF COMMISSIONER: In any event, that's the word this Act uses, and it is to be given its ordinary meaning, understood in its statutory context, though. Obviously subsection (5) sheds light on what is relevant to the question of "appropriate", but it is not a substantial hurdle as it is sometimes said, for example, an exceptional circumstances test would be.
44 45	MR COFFEY: Yes.

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Anyway, I have taken you away -

THE CHIEF COMMISSIONER:

oh, there is perhaps one other thing I should mention before inviting you to continue.

Counsel assisting referred to the *Chaffey* decision. It is an important decision in this state, although it is nearly 30 years old, because it dates to a time when the Independent Commission Against Corruption was dealing with complaints against police - that is, Chaffey and others were in fact police officers.

MR COFFEY: Yes.

THE CHIEF COMMISSIONER: However, at that time, the test for public or private hearing in the relevant ICAC legislation I think involved a simple proposition, that if the Commission formed the view it was in the public interest to do so, it could proceed with a public hearing and therefore the court in the *Chaffey* case concentrated on the term "public interest", because there were no factors identified of the type which have been introduced since then in the ICAC Act and, in turn, in the Law Enforcement Conduct Commission Act.

MR COFFEY: Yes.

THE CHIEF COMMISSIONER: As I understand it, the origin for section 31 of the ICAC Act in its present form was a report in 2005 by Mr McClintock SC, who then was requested to report on aspects of the ICAC Act, and section 31 reflects what he recommended, at least in general terms, and so that may explain where that section came from. As to whether that is of any assistance in looking at this Commission's Act is another question.

MR COFFEY: Yes.

THE CHIEF COMMISSIONER: Anyway, back to you, Mr Coffey.

 MR COFFEY: Chief Commissioner, just two points about that. It is important to recognise that in the current form of the LECC Act, there is not any assistance in respect of what is the public interest or what matters ought to be considered as in the public interest.

 As you observed, Chief Commissioner, in respect of my written submissions, at 33 and onwards I identified the *Cunneen* decision. That must be understood, with respect,

certainly not in terms of noting what I've earlier accepted about the difference between section 31 and section 63 of this Act; the appropriateness or the relevance of taking you, Chief Commissioner, to that decision was really about the obiter comments made by his Honour Justice Basten about when weighing up all of the factors, not so much just the different tests when a public hearing would occur.

THE CHIEF COMMISSIONER: Yes, and it is helpful.

Justice Basten, in his usual helpful way, has looked at these aspects, and I note, although this part of the judgment is obiter dicta, the Chief Justice, Chief Justice Bathurst, who dissented on the jurisdictional issue, observed at paragraph 28 that he agreed with Justice Basten and Justice Ward on the other issues, including the public hearing aspect. So this is strong obiter dicta, of course dealing with a different Act, but with some of the concepts in the list of factors bearing a considerable similarity to the Law Enforcement Conduct Commission Act.

MR COFFEY: Yes.

THE CHIEF COMMISSIONER: The decision of the Court of Appeal, of course, went on appeal to the High Court of Australia where that court dismissed the appeal of the Independent Commission Against Corruption, and on my reading of the High Court's judgment, nothing was said by the High Court that touches on this, because it simply didn't arise.

MR COFFEY: Yes, that's as I understand it.

I won't take you through that decision. Learned counsel assisting has taken you through it and I have emphasised in the extract in the submissions the points that ought to be made.

 If I could turn now very briefly to firstly the question of "serious misconduct". As you acknowledged, Chief Commissioner, in your opening observations, the parties have had - and I don't say this with any criticism or disrespect - limited time to understand the entirety of the scope of what this inquiry is likely to consider, so I respectfully contend that on in respect of the conduct with the young person, it is unambiguous or - the definition of "serious misconduct" needs some attention in respect of whether or not the alleged conduct of a police

officer or police officers could or would result in a prosecution for a serious offence, serious disciplinary action or some sort of disciplinary infringement. But in making that submission I must make two concessions, to be appropriate. The first is, an allegation of excessive force could involve a prosecution for an offence of at least assault occasioning actual bodily harm, contrary to section 59(1) of the Crimes Act. As, Commissioner, you would be familiar, this offence carries a maximum penalty of up to five years' imprisonment, and that, of course, makes it serious offence, not only because it is a serious indictable offence but it also satisfies section 10 subsection (2) and the relevant definition there.

It is understood that the involved young person did suffer an injury to his head which caused bleeding and required treatment by paramedics, and I say in my written submissions "possibly more", I acknowledge that. It has been indicated this morning that he also received treatment at a hospital. Such an injury could, of course, satisfy actual bodily harm.

Secondly, Chief Commissioner, the Commission plainly has the power to investigate, including the conducting of examinations, so as to determine whether or not the alleged conduct amounts to serious misconduct.

For the avoidance of doubt, as I said earlier, section 10(1)(b) about a pattern of misconduct that involves either more than one participant or is indicative of systemic issues, in my respectful submission, doesn't appear to arise, and section 10(1)(c) doesn't appear that it involves corrupt conduct.

It is, with respect, open in these circumstances that it is possible that it is not serious misconduct for the Commission to exercise powers and refer the matter back to the Commissioner of Police for investigation.

 Turning more importantly to this question of private versus public examinations, the legislation, as I have indicated, evinces a clear intention that examinations should be held in private unless it is in the public interest to do so. And as I indicated earlier, there is simply no guidance about what "public interest" is.

THE CHIEF COMMISSIONER: I suppose the strictly accurate

 statement would be "unless the Commission is of the view that it is appropriate to do so", because that is what this Commission's Act says.

MR COFFEY: Yes.

THE CHIEF COMMISSIONER: The ICAC Act speaks of the public interest, but there must be a close interrelationship between those terms, one would think.

MR COFFEY: I would think so, yes, Chief Commissioner.

With respect, Chief Commissioner, as you observed, these allegations are unproven. There is a strong and clear potential that the allegations at this point in time will cause irreparable damage to the reputation of the police officers involved. It is also, with respect, a substantial intrusion into their privacy and their private lives. This must also be understood as an intrusion into the lives of their family, which include their spouses and their children.

If I could ask you, Chief Commissioner, at a later point to have regard to the final sentence in paragraph 95 of the *Cunneen* decision where, in my submission, Justice Basten makes a critical point, which is:

Particularly is that so where the potential damage to reputation (and intrusions on personal privacy) result not from the considered assessment and reporting of an investigation but from public examination, often involving questions put in colourful terms and denials which are disregarded.

In my respectful submission, unpacking that particular observation by his Honour, you, Commissioner, would have regard to the considered assessment that must be understood as an assessment undertaken by the examining Commissioner of this investigation, who will subsequently furnish a report to parliament, as opposed to public reporting which may be done by the mainstream media or community members who follow by way of social media.

With respect, it is common knowledge that it's plainly demonstrated through history that what is often actually reported is often, with respect, misunderstood, taken out

By making these submissions, it should not be understood that the Commissioner of Police at any step suggests the media should not be entitled to be involved and report on the facts, the fact that an investigation is being conducted or the general subject matter. But until a report is published, in the circumstances of this instant matter, it could not be said that the community at large would be harmed or the integrity of the Commission's processes would be undermined by conducting the examinations in private.

 With respect, in anticipation of any submission to the contrary, being that hearings should be held in public, this must be rejected as falling foul of the statutory provision, being 63(2), and the starting point that examinations be held in private.

A private hearing will not prevent the statutory functions of this Commission being achieved once a report is published - that is, the functions of exposing but also providing education about corruption, serious misconduct and serious maladministration.

Chief Commissioner, if, in the instant matter, the conduct of the public examinations and the ultimate findings are such that the involved officers are cleared of the allegations, it is probable, if not certain, that it will be too late and the reputational damage and the privacy intrusions cannot be remedied. To put it another way or colloquially, the horse will have bolted if the hearing is in public and there are no pseudonyms. The reputational damage and/or intrusion on privacy to both the officers and their families cannot be remedied.

As commented by Justice Basten, at paragraph 105 of *Cunneen*, the preferable course would be to expose to the public by publishing a report and, if appropriate, a recommendation that the Director of Public Prosecutions takes whatever action is appropriate, if that so arises as a result of this investigation.

 Could I make some more general observations about policing more generally. With respect, policing is a difficult occupation. Police officers must, however, be held to a high standard in the discharge of their oath of

office. Police officers, as is the case in this instant matter, are required to be involved in policing a broad range of different community groups within our community. Many of the communities within New South Wales are considered to be vulnerable, which presents additional challenges to policing, both at building but also at maintaining relationships.

It is a very real concern, Chief Commissioner, that if a public examination in this particular matter was to occur, which would, with respect, permit realtime reporting, it has the strong potential to strain or damage the relationship between the local police officers and the community.

It is conceded that in this particular matter, as a result of the incident, the relationship may already be suffering or strained, but a public examination has the real risk of irreparably harming relations to a further extent. This particular geographical location, it is important to understand, Chief Commissioner, involves police officers and their families who live in a small regional community. The police officers and their families are neighbours to the community members that they police.

 It is also very important to understand that these police officers' spouses and children work and attend schools and participate in sporting activities with the very same local community that these police officers must engage and police in the discharge of their duties.

Chief Commissioner, it is also true, with respect, I submit, that this particular community, like other New South Wales citizens and those across Australia, have suffered greatly in the past three years, but more specifically this community.

 In addition to the impacts of the COVID 19 pandemic with the restrictions and the associated social impacts which are, with respect, long lasting, this particular community has been the subject of substantial and harmful flooding. This has also impacted the police officers and their families. The police officers continue to provide services and leadership to their local community, trying to keep things back on track in the community, but also back in their own home. It is important to understand that the police officers involved in this local community and the

broader police district, their family homes were also harmed.

These more specific personal matters are raised because the instant matter is different, say, to the Commission investigating allegations of serious misconduct involving police officers maybe in a metropolitan region where, on that particular occasion, it might be more easy for the Commissioner of Police to direct or transfer on a temporary basis those officers to another location until an investigation is finalised. On this particular occasion, in my respectful submission, that's just simply not an available option in circumstances where the police force has police officers who move from the city to the country to be part of the local community.

 In this particular geographical location, Commissioner, the police officers are embedded in the community. It is plain that relations are already strained. If there has been serious misconduct, that will be established at the end of the Commission's investigation and the Commissioner of Police and her officers can take appropriate steps to respond to the police officers involved but also, more importantly, to respond to the community and begin repairing the relationship that is necessary.

Until such time as the Commission's investigation is finalised, which, with respect, will involve oral examinations along with affording procedural fairness through the provision of submissions - and that is often oral and written submissions or a combination of both - the Commission should weigh in favour of private examinations.

Returning to the non-exhaustive list, Chief Commissioner, set out in section 63(5) of the Act, the present matter does not involve a conduct of behaviour; rather, it is a single incident.

 The relevance of this point is that it is unlikely that a private hearing will prevent or have the effect of discouraging persons to make complaints to the Commission or to the Commissioner of Police about alleged adverse behaviour or conduct of police officers or police employees. In the circumstances, it is my respectful submission on behalf of the Commissioner of Police that you would make a decision to hold these examinations in

private.

 In circumstances where, Chief Commissioner, you are called upon to make a decision about what is appropriate, I have overlooked raising with you section 3, "Objects of Act", under (a) - that is, to promote the integrity and good repute of the NSW Police Force. It goes further. The relevance of that, Chief Commissioner, is that by holding a private examination and ultimately publishing, furnishing a report to parliament, it will still assist and allow this Commission to achieve that object - that is, to ensure that there is integrity and good repute of the NSW Police Force, because there will be a well-balanced and reasoned report that considers all available information, not simply information that might be heard as certain evidence is given in the colourful way that often it is given.

In respect of an alternative, of course this Commission is not bound by past practice by previously constituted examining Commissioners, but if it was to be the circumstance that the Commission was to determine that the examination should be conducted publicly or partly in public, in my respectful submission, as I alluded to earlier, the section 176 order in its current form should continue.

Could I raise, in respect of just anticipating it, that I have no submissions in respect of the location of any hearing.

THE CHIEF COMMISSIONER: All right. Thank you, Mr Coffey. Who would like to go next?

MR HALL: Chief Commissioner, in relation to [MTS1], I understand in relation to [YPM1] proceedings are on foot in relation to those matters in another jurisdiction. Any public hearing may affect that. Also that other jurisdiction, my understanding, due to the age, would be in private itself.

THE CHIEF COMMISSIONER: The other jurisdiction is the Children's Court?

 MR HALL: Yes, Commissioner. Those matters are going simultaneously with these matters, and my understanding at this stage is that - and I can be corrected by the Commissioner - there have been no pleas at this stage. The

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3	THE CHIEF COMMISSIONER: So proceedings before the
4	Children's Court are still on foot?
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6	MR HALL: Yes, Chief Commissioner.
7	THE TIME ET TOO, OTHER COMMITTEE TOTAL
8	THE CHIEF COMMISSIONER: And although those proceedings
9	would take place in a closed court, as one would expect,
10	the existence of parallel criminal proceedings, albeit in
11	the Children's Court, is something which you submit is
12	relevant to the question of whether it is a public or
13	private examination?
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15	MR HALL: And we would ask for a private examination.
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17	THE CHIEF COMMISSIONER: Yes. Do you seek to adopt the
18	submissions made by Mr Coffey on behalf of the Commissioner
19	of Police?
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21	MR HALL: Certainly, Chief Commissioner.
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23	THE CHIEF COMMISSIONER: Thank you, Mr Hall.
24	The onle of the control of the contr
25	MS LEE: Chief Commissioner, if I could first seek
26	permission to tender some written submissions at a later
27	date, at the convenience of the Commission - I was only
28	·
29	made aware of this directions hearing on 5 December and
	Redfern Legal Centre has minimal resources, compared to
30	some other counsel before the Commission today.
31	THE CHIEF COMMISSIONED CO. 11111 1 (
32	THE CHIEF COMMISSIONER: So you would like a chance to
33	have some time to put something in writing?
34	
35	MS LEE: Yes.
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37	THE CHIEF COMMISSIONER: Having regard to what has been
38	said by both counsel assisting, but also Mr Coffey and
39	Mr Hall so far?
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41	MS LEE: Yes, Chief Commissioner.
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43	THE CHIEF COMMISSIONER: How long would you be wanting to
44	do that?
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46	MS LEE: Even two weeks would be sufficient, Chief
47	Commissioner.
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evidence is still being put together.

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46 47 THE CHIEF COMMISSIONER: Would it be possible to do it a little earlier? I'm just conscious of the time of year. I understand you want some time to absorb and respond to what has been said this morning, and this hearing has been scheduled with relatively short notice, but I would be grateful if it was possible to do something by perhaps the middle of next week, being a week.

If more time was needed - I suppose, part of this is the practical future course, namely, if we're looking at hearings in February/March, and we're not going to be fixing any dates today for anything, having some clarity and a resolution on this issue as soon as reasonably practicable is desirable. At the same time, I want to give you a fair opportunity to put something in writing. it be possible to make it seven days and see how we go with that?

MS LEE: Yes, thank you, Chief Commissioner.

THE CHIEF COMMISSIONER: All right. Was there anything that you did want to say today while we are all here, apart from having an opportunity to put something in writing?

Yes, thank you. I have instructions from my MS LEE: client, [YPM1], to seek a public hearing in regards to this incident, Chief Commissioner. My client is a 14-year-old boy, indigenous. He lives in a rural regional area. of low socio-economic background. He is a vulnerable person under the Crimes Procedure Act, section 306, and is subject to the Young Offenders Act.

He is not here today, and, as you can see, he is outnumbered today, of the people before the Commission, out-counselled, but not out-motivated to seek a public hearing in regards to this incident.

Commissioner, I put to you that it is serious misconduct in regard to section 3 of the LECC Act. turn, Chief Commissioner, your mind to section 10(1)(a) of the Act which says the words "could result in a prosecution". It's not the words "will", or "may", but it is a lower bar that "could result in a prosecution."

My friend has suggested it could be, if a misconduct is found, looking at assault occasioning, but I submit to

you, Chief Commissioner, that we don't have the evidence before the Commission as yet as to the actual injury that was caused and we could potentially be looking at reckless grievous bodily harm. At this stage, we don't know - and that is a 10-year gaol term offence.

In terms of section 63, Chief Commissioner, I turn to the benefit of a public hearing in regards to benefit to the public.

Excessive force is something that is on the public agenda right now. I raise the recent incident of Danny Lim, a very public matter that has had a lot of public exposure. I can only say that the public interest in that use of force has been extensive. It has infiltrated the community.

Excessive force is a big issue within my practice at the Redfern Legal Centre. I am of the view it is a systemic issue. We're not talking about force against an adult; we're talking about potentially quite serious force against a young indigenous young man. He was, again, in a regional area, late at night. We don't know all the specific details yet in regards to that night, but the videos from that night that have been posted on social media are extremely distressing. The videos show a young 14-year-old boy with blood bleeding from his head and he appears to be going in and out of consciousness.

In terms of risk, Chief Commissioner, of undue prejudice, I submit that the risk of undue prejudice, if it doesn't go to a public hearing, is to my client. There is no body worn video - none. No body worn video footage. That does disadvantage the Commission in being aware of what actually went on at the time. Video footage speaks louder than words and we don't have the benefit of that before the Commission.

The risk is that a voice of a 14-year-old boy will not be heard. Again, he is outnumbered by the number of police officers who are represented here at the Commission. His voice needs to be heard in public. Public hearings shed light on police behaviour.

As you mentioned, the word "appropriate" is a much lower bar than in regards to a public hearing under the ICAC Act. I submit, Chief Commissioner, that it is

appropriate to air this issue in the public. A public hearing educates the public about police behaviour and potentially deters police from further misconduct. Public hearings provide knowledge also about the LECC's function and provide knowledge about the transparency of the process. It also improves integrity in regards to the LECC and also informs people about the police force and looks at integrity, transparency and accountability in regards to NSW Police.

Chief Commissioner, I won't go into all the case work. I can see that it has already been submitted. My submission is that my client wants a public hearing. He doesn't have a voice at the moment in regards to this matter, except what has been shown on social media. He would like to have a voice and he would like some transparency in regards to not only himself but the way indigenous First Nations people are treated, particularly in regional and rural areas, thank you.

THE CHIEF COMMISSIONER: What I will do before we conclude today is ask if you to provide any additional written submissions to the solicitor assisting the Commission by 1pm next Wednesday, 21 December, and if there is some insuperable difficulty with that, if you could indicate that, but you have helpfully outlined the argument that you would put, and to make sure that there is a proper opportunity to address anything else in particular by reference to what you have heard and seen today, I would hope that may be sufficient time. So I will make an order to that effect before we finish today.

MS LEE: Thank you, Chief Commissioner.

THE CHIEF COMMISSIONER: Thank you, Ms Lee. Yes, who would like to go next?

MR NAGLE: Thank you, Chief Commissioner. Given that the Police Association received notice yesterday afternoon of the matters that were to be canvassed today, we seek that we have until 1pm next Wednesday as well to give you something in writing.

 THE CHIEF COMMISSIONER: Yes, I am conscious - I am sorry the notice has been that late, there has been a range of work done with respect to this matter and some other matters in the Commission in recent times. I had

overlooked, and I apologise for it, the fact that the Police Association would have an interest in this. It is a general topic. It is of importance to the members of the Police Association, so I wanted to ensure that there was an opportunity for participation today, but hopefully with the benefit of what you've heard and the written submissions on behalf of the Commissioner of Police, that will assist. So I'll make sure that you have until 1pm next Wednesday, 21 December, as well.

MR NAGLE: Thank you. We appreciate the opportunity to make submissions and to assist you in understanding the scope of the power, given that the LECC Act is six years old but before that there were things like the Tink review of the previous PIC Act, and the differences in the language. So we will try to give you some of the historical significance of that.

Whilst on the Second Reading Speech, the explanatory memorandum does deal with this matter as well, so we will send that through.

 THE CHIEF COMMISSIONER: That would be helpful. I should indicate, too, that as Justice Basten observed in the *Cunneen* decision, it is often helpful to have guidelines generated by the particular Commission in this area, and he noted that there weren't any which the ICAC had at that time.

 I can't speak for the ICAC now, but there are no guidelines of the Law Enforcement Conduct Commission in this area, certainly at present. At earlier times, and perhaps in the days of the Police Integrity Commission, there may have been something. I frankly cannot recall at this point.

 MR NAGLE: We would welcome the opportunity to assist with formulating those guidelines and being heard in relation to them, should general guidelines be something that the Commission thinks is appropriate.

THE CHIEF COMMISSIONER: What we are heading towards when we end today is I will adjourn and reserve a decision on this topic where I will, in due course, give a decision that will be circulated to everyone, and I think made public, with no identifiers in it.

I'm not a judge anymore so I can't make decisions of the type that were possible in the past, and this is a matter where there would be consultation as well with the Commissioner of this Commission. We bear joint responsibility in that, in the operation of the Commission, and it may be that this decision will, insofar as it speaks generally, fulfil the type of function of guidelines, without necessarily calling them guidelines.

But we have to start somewhere, and that's what's happening today, and the submissions being made are of assistance and will continue to be of assistance. There will be a written decision which will be available, and hopefully the end product will be of assistance to everyone who has matters before this Commission in the future.

MR NAGLE: Yes, thank you.

THE CHIEF COMMISSIONER: Thank you for that, and I'll make sure that there is that opportunity for submissions to be made in that way. Thank you.

MR TAYLOR: Commissioner, Taylor, solicitor on behalf of Officer [MTS3]. Firstly, can I thank Mr Coffey for his detailed written and oral submissions today.

Given my lack of knowledge of the particulars that the Commissioner will be investigating in the matter, I don't think there is anything I can say which would assist the Commission in coming to a determination in respect of this issue, so I wouldn't seek to file any submissions or make any submissions today, but I would seek to adopt the conclusions Mr Coffey came to in his written submissions in relation to whether the Commission should hold a public or private hearing and if it is to be a public hearing, then we wish for there to be pseudonyms in accordance with the previous practice.

THE CHIEF COMMISSIONER: But you don't seek an opportunity to put anything in writing?

MR TAYLOR: I don't think there is anything I could put to you in seven days which would assist you further.

THE CHIEF COMMISSIONER: Thank you.

MR WILLIS: Chief Commissioner, on behalf of

Officer [MTS5], we adopt the submissions made by Mr Coffey and support the contentions advocated by him under the heading "Conclusion". There is nothing that I can usefully add to those.

THE CHIEF COMMISSIONER: All right. So you don't seek an opportunity to put anything in writing, having heard what you have heard so far today?

MR WILLIS: No, Commissioner.

THE CHIEF COMMISSIONER: Thank you, Mr Willis.

MR JONES: Chief Commissioner, on behalf of Officer [MTS2], I would also gratefully and respectfully adopt the submissions of my learned friend Mr Coffey and I don't seek to provide any written submissions.

THE CHIEF COMMISSIONER: All right. Thank you, Mr Jones.

MR WHITE: Chief Commissioner, on behalf of Officer [MTS4], I also adopt the submissions of Mr Coffey. If I could just add one matter, though, Commissioner.

In relation to section 63(5)(b) concerning the seriousness of the allegation, I have instructions in relation to this matter. My instructions do not go to the issue of the subject incident. At this point in time, I'm not aware of what the allegations are in relation to excessive force. I'm not aware how many police officers are alleged to be involved and I'm not sure - well, I'm not aware - of any of the circumstances relating to the subject incident. So it is difficult for me to make submissions in relation to 63(5)(b) in relation to seriousness of the allegations.

Nothing has been said by counsel assisting today in relation to those particular issues. All I'm aware of is excessive force, which could, of course, be serious or it could be minor. I would have thought that issue is relevant to that particular factor, but I'm just not aware of that, so I'm limited in terms of what I can submit about that.

I certainly adopt what Mr Coffey has said in relation to the section generally.

I also raise, particularly, based on the instructions of my client, the issue concerning risk of undue prejudice to a person's reputation. I don't think I can add anything to what Mr Coffey helpfully said to the Commission today about that issue. It is a matter of concern to my client, and particularly because of the geographical location, and I adopt what has been said by Mr Coffey in terms of the difference or the different issues that would arise, for example, in the Sydney metropolitan area as opposed to the geographical area where this occurred, where my client has family, has children, who would all be affected by a public hearing.

That's all I wish to say, thank you, Commissioner.

THE CHIEF COMMISSIONER: All right. And you don't seek to put anything in writing, Mr White?

MR WHITE: I would like to see what has been said. I would like to reserve my position, subject to whatever submissions are made by other parties, but I would expect I wouldn't, Commissioner.

THE CHIEF COMMISSIONER: All right.

MS LEE: Chief Commissioner, if I could just mention, my friend mentioned the risk to these officers. These issues were raised in the other public hearing that LECC held, which was the strip search public hearing. As far as I know, those matters have been dealt with and I can't see how this potential public hearing may be any different to that public hearing.

Also, there is a risk to my client, who lives in a regional area, in terms of potential impact on him in terms of his relationship with police, and he is still willing to have a public hearing. Thank you.

THE CHIEF COMMISSIONER: Thank you.

I should just indicate, turning to counsel assisting, I am aware that there is a report of this Commission in Operation Tambora of September 2018. It is not presently on the Commission website, because there are outstanding criminal proceedings arising from it, but in the context of that operation, the Commission took submissions on the question of a public examination or private examination.

The decision of the Commission in that respect, which was in fact issued under the hand of the then Chief
Commissioner, the Hon Michael Adams QC, as he then was, the Hon Lea Drake and Mr Patrick Saidi, is annexure 1 to the Operation Tambora report.

I mention that because, as far as I understand it, this is the only time where the Commission, in the context of that operation and investigation, looked at section 63. The decision, which is at appendix 1 to that report, summarises submissions made and the conclusions reached by reference to the statutory factors in section 63. It does not, as I understand it, extend to referring to decisions such as *Chaffey* or *Cunneen*, but it deals with section 63 issues.

If any party who has been granted leave would like access to that report and doesn't have it because it is not physically available on the website, given the current criminal proceedings, the solicitor assisting the Commission can make that available electronically to anyone who would like it.

 I mention this for completeness, because I am conscious that the decision made in that matter was made in the context of that matter, but it's the closest that I am aware of where the Commission has considered section 63, its operation, and has published a decision. So I just mention that, and if anyone would like that, please raise that with counsel and solicitor assisting and it can be made available. It may be of assistance to those who wish to put anything in writing.

Is there anything would you like to say at this stage, Mr Fernandez, in light of what has been said?

MR FERNANDEZ: No, thank you, Chief Commissioner.

THE CHIEF COMMISSIONER: The way forward, then: any written submissions to be made - at this stage Ms Lee and counsel for the Police Association have identified the position - what do you suggest is the best way of dealing that, Mr Fernandez?

 We are closing in on Christmas. Those submissions will come in on 21 December. A question arises as to whether those submissions should be circulated to others

who have been granted leave for the purpose of today and 1 who have an interest in this, which may itself raise the 2 3 possibility of someone wanting to put something else in writing, perhaps including yourself. 4 5 MR FERNANDEZ: Yes. 6 7 THE CHIEF COMMISSIONER: At the risk of having cascading 8 submissions, I'm not sure there is any way of minimising 9 that risk. The purpose of today was to allow the 10 Commission to be assisted and fully informed, and if it 11 12 takes us into the new year, it takes us into the new year. 13 The reality is that some decision ought be made known, 14 15 certainly by early February at the latest, and that doesn't stand in the way, I suppose, of making some arrangements, 16 17 but it may be that any arrangements are looking at the latter part of February and into March rather than anything 18 in the early part of February. I just say that as a matter 19 of practical reality of where we're up to. 20 21 22 What would you submit is appropriate, on the basis 23 that any submissions that come in by 21 December will be electronically circulated to those who have leave today, 24 25 they have an opportunity to put something in by - what 26 would you suggest? 27 28 I'm just mindful that because of the time MR FERNANDEZ: 29 of year, realistically --30 31 THE CHIEF COMMISSIONER: Well, all of that, I am not 32 suggesting it has to be a week later on 28 December. 33 34 MR FERNANDEZ: In deference to all concerned and the time 35 of year, we're looking at January. THE CHIEF COMMISSIONER: 37 Yes. 38

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MR FERNANDEZ: Chief Commissioner, I wonder if 20 January gives everyone sufficient time?

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THE CHIEF COMMISSIONER: I don't have a difficulty Yes. with that.

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I am just speaking generally to the Bar table. basis that these further submissions are circulated on 21 December, an opportunity for anything to be put in

response by 20 January - is that an invitation that people 1 2 would want to take up? 3 MR COFFEY: Yes, thank you, Commissioner. 4 5 MR WHITE: Yes. 6 7 THE CHIEF COMMISSIONER: For you, Mr Fernandez, do you 8 9 want anything beyond 20 January? 10 MR FERNANDEZ: I would ask perhaps for Wednesday, 11 12 25 January, your Honour. 13 THE CHIEF COMMISSIONER: Yes. And the next step would not 14 involve, as I see it now, a resumed public directions 15 hearing but, rather, having regard to all the submissions 16 that will have passed, a decision. 17 18 19 MR FERNANDEZ: Yes. 20 21 THE CHIEF COMMISSIONER: In circumstances, of course, 22 where the decision to be made, given its very nature, which 23 is interlocutory, that circumstances may change and what the decision would be would be based upon the issues of law 24 25 which have been advanced and the nature of the investigation, the position as the Commission sees it at 26 27 that time - so it's a process which is capable of being 28 revisited if circumstances warrant it. 29 30 MR FERNANDEZ: Yes, that's so. 31 32 THE CHIEF COMMISSIONER: That has to be the position. 33 MR FERNANDEZ: 34 Yes. 35 36 THE CHIEF COMMISSIONER: Does anyone want to say anything against - and just thinking of it, I'm prepared to make 37 21 December, 22 December, which is one extra day, Ms Lee -38 39 bearing in mind 22 December for the submissions on behalf of the Police Association and [YPM1]; and 21 January for 40

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The Commission has received helpful written and oral submissions today on issues of a procedural type which were

submissions from anyone else; and 25 January for counsel

assisting, does anyone want to say anything further about

those dates before I formally make an order to that effect?

All right.

identified for consideration today and, in particular, the use of public or private hearings for examination of witnesses. To allow interested persons who have been granted leave to appear today an opportunity to consider and respond to submissions that have been made so far, I make the following further directions:

The Police Association of NSW and [YPM1] should furnish any written submissions by email to the solicitor for the Commission, Mr Huen, by 1pm on Thursday, 22 December 2022. Those submissions will then be circulated by email to each of the legal representatives who has been granted leave to appear today.

Any of the interested persons who have been granted leave to appear today who wish to respond to the submissions made on behalf of the Police Association or [YPM1] should email those submissions to the solicitor for the Commission by 1pm on 20 January 2023. Those submissions will be circulated by email to the legal representatives who have been granted leave to appear today.

Finally, counsel assisting is to furnish any written response to submissions made, by email, sent by 1pm on 25 January 2023 to all legal representatives who have been granted leave to appear today.

The Commission will give a decision on the procedural issues, essentially the public/private hearing issue, thereafter, and that decision will be furnished to the legal representatives granted leave by email at a date after 25 January 2023.

What course do you propose, Mr Fernandez, as to procedural arrangements for next year? Is it best, rather than having a discussion that may not take it very far in public, to leave it to communications --

MR FERNANDEZ: Yes.

THE CHIEF COMMISSIONER: -- between yourself, the solicitor assisting and the affected parties, as to possible available dates?

The question of venue and location has not been discussed. In some respects, that perhaps is best not

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discussed publicly because it does involve a process of identification more specifically of the location in question and the location of persons who may be likely witnesses. So I think that is probably best not addressed publicly, but there clearly should be an open line of communication with the legal representatives in question now that we've got to the point of leave having been granted and the process is under way at least for procedural purposes.

MR FERNANDEZ: Yes.

 THE CHIEF COMMISSIONER: The availability of legal representatives will be taken into account in any fixing of dates as part of that process. I will leave it to you and the solicitor assisting to speak to them in that respect.

MR FERNANDEZ: We will do that very quickly.

THE CHIEF COMMISSIONER: All right. Does that, then, complete everything that was sought to be achieved today?

MR FERNANDEZ: There is nothing further for me to raise, Chief Commissioner.

THE CHIEF COMMISSIONER: Well, could I thank everyone who has appeared today at short notice to assist the Commission.

The Commission is conscious that the matters under investigation occurred only three months ago. It is important that the matter be progressed, as it has been so far today. It is also important that examinations take place in the early months of next year and in due course, without any delay, a report be issued by the Commission. It is in the public interest that this process, once the Commission has engaged in an investigation, proceed as soon as reasonably practicable and that the report issue thereafter as soon as reasonably practicable.

So this has been the first step, today, in that process, and I once again thank all legal representatives who have attended today for their assistance. So the Commission will now adjourn.

AT 12.04PM THE COMMISSION WAS ADJOURNED ACCORDINGLY